

- 1 Name of Listed Entity: Sai Capital Limited
- 2 Scrip Code/Name of Scrip/Class of Security: SAICAPI
- 3 Share Holding Pattern Filed under: 31b
- 4 Share Holding Pattern as on : 30-Sep-2019
- 5 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S.No.	Particulars	Yes/ No	Remarks
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has issued any Warrants ?	No	
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
5	Whether the Listed Entity has any shares in locked-in?	No	
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter/ Promoter Group
7	Whether the Listed Entity has issued any differential Voting Rights?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities																		
Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	2122700	0	0	2122700	73.723	2122700	0	2122700	73.723	0	73.723	1468444	69.178	0	0.000	1657600
(B)	Public	982	756600	0	0	756600	26.277	756600	0	756600	26.277	0	26.277	0	0.000	NA	NA	175800
(C)	Non Promoter- Non Public	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Total	988	2879300	0	0	2879300	100.000	2879300	0	2879300	100.000	0	100.000	1468444	51.000	0	0.000	1833400

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
							Class X	Class Y	Total								
(1) Indian																	
(a) Individuals/Hindu Undivided Family	2	155700	0	0	155700	5.408	155700	0	155700	5.408	0	5.408	0	0.000	0	0.000	17100
NIRAJ KUMAR SINGH	1	138600	0	0	138600	4.814	138600	0	138600	4.814	0	4.814	0	0.000	0	0.000	0
JUHI SINGH	1	17100	0	0	17100	0.594	17100	0	17100	0.594	0	0.594	0	0.000	0	0.000	17100
(b) Central Government/State Government(s)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Financial Institutions/Banks	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Any Others (specify) # BODY CORPORATES	3	1640500	0	0	1640500	56.976	1640500	0	1640500	56.976	0	56.976	0	0.000	0	0.000	1640500
SAI ENTERPRISES PVT LTD	1	361100	0	0	361100	12.541	361100	0	361100	12.541	0	12.541	335300	92.855	0	0.000	361100
SAI BUSINESS AND CONSULTANCY SYSTEMS PRIVATE	1	362600	0	0	362600	12.593	362600	0	362600	12.593	0	12.593	336900	92.912	0	0.000	362600
SAI AGENCIES PRIVATE LIMITED	1	916800	0	0	916800	31.841	916800	0	916800	31.841	0	31.841	796244	86.850	0	0.000	916800
(f) Any Others (specify)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total(A)(1)	5	1796200	0	0	1796200	62.383	1796200	0	1796200	62.383	0	62.383	0	0.000	0	0.000	1657600
(2) Foreign	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals (Non- Resident Individuals/ Foreign Individuals)	1	326500	0	0	326500	11.340	326500	0	326500	11.340	0	11.340	0	0.000	0	0.000	0
SANJIV ASIHANA	1	326500	0	0	326500	11.340	326500	0	326500	11.340	0	11.340	0	0.000	0	0.000	0
(b) Government	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (A)(2)	1	326500	0	0	326500	11.340	326500	0	326500	11.340	0	11.340	0	0.000	0	0.000	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	2122700	0	0	2122700	73.723	2122700	0	2122700	73.723	0	73.723	1468444	69.178	0	0.000	1657600

1. 1,38,600 Shares held by Dr. Niraj Kumar Singh (Promoter) have since been Dematerialized on October 10, 2019 and will reflect as such in the subsequent Shareholding Pattern for the Quarter ended December 31, 2019.

2. Mr. Sanjiv Asthana (Foreign Promoter Shareholder) is a Non Resident Indian (N.R.I.), residing in the United States of America (U.S.A.). The Shareholding is listed under the Foreign Category.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (Not applicable)(b)
								Class X	Class Y	Total								
(1)	Institutions																	
(a)	Mutual Fund	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(b)	Venture Capital Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(c)	Alternate investment Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(f)	Financial Institutions/ Banks	1	84300	0	0	84300	2.928	84300	0	84300	2.928	0	2.928	0	0.000	NA	NA	84300
	UNION BANK OF INDIA	1	84300	0	0	84300	2.928	84300	0	84300	2.928	0	2.928	0	0.000	NA	NA	84300
(g)	Insurance Companies	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(i)	Any Others (specify)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Sub-Total(B)(1)	1	84300	0	0	84300	2.928	84300	0	84300	2.928	0	2.928	0	0.000	NA	NA	84300
(2)	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Sub-Total (B)(2)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(3)	Non-institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	971	562946	0	0	562946	19.551	562946	0	562946	19.551	0	19.551	0	0.000	NA	NA	2646
(a)	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	88854	0	0	88854	3.086	88854	0	88854	3.086	0	3.086	0	0.000	NA	NA	88854
	ALOK JAIN	1	28854	0	0	28854	1.002	28854	0	28854	1.002	0	1.002	0	0.000	NA	NA	28854
	MAHENDRA KUMAR PANDEY	1	30000	0	0	30000	1.042	30000	0	30000	1.042	0	1.042	0	0.000	NA	NA	30000
	KAILASH CHANDRA SHARMA	1	30000	0	0	30000	1.042	30000	0	30000	1.042	0	1.042	0	0.000	NA	NA	30000
(b)	NBFCs registered with RBI	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(c)	Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(e)	Any Other (specify) # CORPORATE BODY	1	800	0	0	800	0.028	800	0	800	0.028	0	0.028	0	0.000	NA	NA	0
(e)	Any Other (specify) # NRI	6	19700	0	0	19700	0.684	19700	0	19700	0.684	0	0.684	0	0.000	NA	NA	0
	Sub-Total (B)(3)	981	672300	0	0	672300	23.349	672300	0	672300	23.349	0	23.349	0	0.000	NA	NA	91500
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	982	756600	0	0	756600	26.277	756600	0	756600	26.277	0	26.277	0	0.000	NA	NA	175800

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable)		As a % of total Shares held (Not applicable)(b)
									Class X	ClassY	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA	0		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA	0		
	Total Non-Promoter-Non-Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA	0		

Table V- Statement showing details of significant beneficial owners (SBOs)

S.No.	Details of the SBO		Details of the registered owner		Whether by virtue of:					Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Shares %	Voting Rights %	Dividend Rights %	Exercise of Control	Exercise of Significant Influence	
1	Niraj Kumar Singh	Indian	Sai Enterprises Private Limited	Indian	12.54	12.54	12.54	Yes	Yes	13-07-1996
2	Niraj Kumar Singh	Indian	Sai Business and Consultancy Systems Private Limited	Indian	12.59	12.59	12.59	Yes	Yes	13-07-1996
3	Niraj Kumar Singh	Indian	Sai Agencies Private Limited	Indian	31.84	31.84	31.84	Yes	Yes	13-07-1996